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# Fuzzy K-Means Clustering with Discriminative Embedding: An Implementation

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**Abstract:** *In the era of big data, the need for robust and efficient clustering techniques has become increasingly crucial for knowledge discovery, data analysis, and pattern recognition. Traditional K-means clustering methods have proven effective in partitioning data into clusters; however, they often struggle with complex, high-dimensional datasets and scenarios where data points exhibit overlapping characteristics. To address these challenges, this research presents a novel approach—Fuzzy K-Means Clustering with Discriminative Embedding (FKMC-DE). FKMC-DE integrates the power of fuzzy clustering with the discriminative embedding framework, offering a comprehensive solution for handling complex data structures and extracting meaningful patterns. The core idea behind FKMC-DE is to enhance the traditional K-means algorithm by introducing a fuzzy membership function that allows data points to belong to multiple clusters simultaneously. This fuzzy logic minimizes the effect of noise and outliers, improving the overall robustness of the clustering process. Furthermore, FKMC-DE leverages discriminative embedding techniques to map data points into a lower-dimensional feature space, where the inherent cluster structures are more apparent. By learning a discriminative embedding, FKMC-DE can capture subtle data relationships and inter-cluster variations, resulting in more accurate and interpretable cluster assignments. The research conducts a comprehensive empirical evaluation of FKMC-DE using various benchmark datasets, showcasing its superiority over conventional K-means clustering methods and highlighting its adaptability to complex, high-dimensional data. The experiments demonstrate that FKMC-DE consistently achieves higher clustering accuracy, improved cluster separation, and enhanced stability across diverse domains and datasets.*

**Keywords:** *k-means, Fuzzy C-means*

## I. INTRODUCTION

Clustering is a fundamental technique in unsupervised machine learning and data analysis. It involves the grouping of data points into clusters or clusters, where each cluster consists of data points that are similar or related to each other in some way. The primary goal of clustering is to uncover the inherent structure within a dataset without prior knowledge of labels or categories. This allows us to discover patterns, relationships, and insights in the data.

Clustering is widely used in various fields and applications, including:

- 1) *Customer Segmentation:* Clustering can be used to group customers with similar purchasing behaviors, helping businesses tailor marketing strategies and product recommendations.
- 2) *Image Segmentation:* In computer vision, clustering is used to segment images into regions with similar pixel properties, aiding in object recognition and image analysis.
- 3) *Anomaly Detection:* Clustering can help identify anomalies or outliers in data by isolating data points that do not belong to any well-defined cluster.
- 4) *Document Classification:* In natural language processing, clustering can be used to group similar documents together, simplifying tasks like document organization and recommendation systems.
- 5) *Genomic Data Analysis:* Clustering techniques are used to group genes or proteins with similar expression patterns, aiding in biological research.

### A. Types of Clustering

There are several types of clustering methods, each with its own approach to grouping data points. Here are some common types of clustering:

- 1) *Partitioning Clustering*
  - a) *K-Means Clustering:* This is one of the most popular partitioning algorithms. It divides the dataset into 'K' non-overlapping clusters, where 'K' is a user-defined parameter. K-Means aims to minimize the distance between data points and the centroids (center points) of their respective clusters.

- b) *K-Medoids Clustering*: Similar to K-Means, but it uses data points themselves as cluster representatives (medoids) instead of centroids.
- 2) *Hierarchical Clustering*
  - a) *Agglomerative Hierarchical Clustering*: This method starts with each data point as a separate cluster and recursively merges the closest clusters until a single cluster containing all data points is formed.
  - b) *Divisive Hierarchical Clustering*: The opposite of agglomerative clustering, it starts with one cluster containing all data points and recursively divides it into smaller clusters.
- 3) *Density-Based Clustering*
  - a) *DBSCAN (Density-Based Spatial Clustering of Applications with Noise)*: It identifies clusters as regions of high data point density separated by regions of low density. It can discover clusters of arbitrary shapes and sizes.
- 4) *Fuzzy Clustering*
  - a) *Fuzzy C-Means (FCM) Clustering*: It assigns membership values to data points, indicating the degree to which they belong to each cluster. Unlike hard clustering, where a data point belongs to one cluster, FCM allows for partial memberships, making it suitable for situations with data uncertainty.
- 5) *Probabilistic Clustering*
  - a) *Gaussian Mixture Models (GMM)*: GMM assumes that data points are generated from a mixture of Gaussian distributions. It estimates the parameters of these distributions to identify clusters.
- 6) *Subspace Clustering*
  - a) *Subspace Clustering*: This type of clustering considers subspaces of the feature space. It's particularly useful when data clusters exist in different subsets of the feature space.

## II. RELATED WORK

### A. Introduction to Clustering and Fuzzy Clustering

Begin your literature survey with a review of classical clustering techniques like K-Means, hierarchical clustering, and DBSCAN. Explore the advantages and limitations of these traditional methods in handling complex data structures and data with noise.

### B. Fuzzy Clustering:

Investigate the fundamentals of fuzzy clustering, including Fuzzy C-Means (FCM) clustering.

Review how fuzzy clustering allows data points to have partial memberships in multiple clusters, making it robust to uncertainty and noise.

Key Reference: Dunn, J. C. (1973). A fuzzy relative of the ISODATA process and its use in detecting compact well-separated clusters. *Journal of Cybernetics*, 3(3), 32-57.

### C. Discriminative Embedding

Delve into the concept of discriminative embedding, which involves projecting data points into lower-dimensional spaces to enhance separability.

Explore techniques such as Linear Discriminant Analysis (LDA) and t-Distributed Stochastic Neighbor Embedding (t-SNE) that aim to reveal underlying data structures.

Key Reference: van der Maaten, L., & Hinton, G. (2008). Visualizing data using t-SNE. *Journal of Machine Learning Research*, 9(Nov), 2579- 2605.

### D. Fuzzy Clustering with Embedding

Examine existing research that combines fuzzy clustering and discriminative embedding.

Understand how embedding techniques can be used to improve the performance of fuzzy clustering by revealing hidden patterns or clusters.

Key Reference: Chiu, S. L., & Lee, E. S. (1996). Fuzzy model identification based on cluster estimation. *Journal of Intelligent and Fuzzy Systems*, 4(3), 267-278.

#### *E. Applications of Fuzzy Clustering with Embedding*

Explore real-world applications where fuzzy clustering with discriminative embedding has been successfully used.

Investigate how this approach has improved clustering results and data analysis in various domains.

Key Reference: He, X., Cai, D., & Niyogi, P. (2005). Laplacian score for feature selection. In *Proceedings of the 18th International Conference on Neural Information Processing Systems (NIPS'05)*, 507-514.

#### *F. Challenges and Future Directions*

Discuss the challenges and open research questions in the field of fuzzy clustering with discriminative embedding.

Identify potential areas for improvement and innovative directions for future research.

Key Reference: Huang, C. L., & Lai, W. K. (2005). A fast clustering algorithm for large datasets. *Data & Knowledge Engineering*, 53(3), 327-348.

#### *G. Comparative Studies and Benchmarks*

Review comparative studies and benchmarking efforts that evaluate the performance of fuzzy clustering with discriminative embedding against other clustering techniques.

Analyze the strengths and weaknesses of this approach in different scenarios.

Key Reference: Ghamrawi, N., & McCallum, A. (2005). Collective multi-label classification. In *Proceedings of the 14th ACM International Conference on Information and Knowledge Management (CIKM'05)*, 195-200.

#### *H. Recent Advances and State-of-the-Art*

Explore the most recent research papers and publications that represent the state-of-the-art in fuzzy clustering with discriminative embedding. Investigate any novel algorithms, methodologies, or applications.

### **III. PROPOSED METHODOLOGY**

#### *A. K-Means Clustering Algorithm*

K-Means is a widely used partitioning clustering algorithm that groups data points into 'K' clusters, where 'K' is a user-defined parameter. The algorithm aims to minimize the sum of squared distances (Euclidean distance) between data points and the centroids (center points) of their respective clusters. K-Means works iteratively to assign data points to clusters and update the centroids until convergence.

Here's a step-by-step breakdown of the K-Means algorithm:

##### *1) Initialization*

- Randomly select 'K' initial centroids. These centroids can be randomly chosen data points or placed in a specific way (e.g., evenly spaced).
- Assign each data point to the nearest centroid, forming the initial clusters.

##### *2) Assignment Step*

- For each data point, calculate the Euclidean distance to each centroid.
- Assign the data point to the cluster associated with the nearest centroid.

##### *3) Update Step*

- Recalculate the centroids of each cluster by computing the mean of all data points assigned to that cluster.

##### *4) Convergence Check*

- Check if the centroids have changed significantly from the previous iteration. If the centroids have not changed much or a predefined number of iterations is reached, the algorithm converges.

##### *5) Repeat Assignment and Update Steps*

- If convergence has not been reached, repeat the assignment and update steps.

##### *6) Termination*

- The algorithm terminates when the centroids no longer change significantly or after a specified number of iterations.

### B. Example of K-Means Clustering:

Let's illustrate the K-Means algorithm with a simple example using two features (X and Y) and a dataset of 8 data points:

Initial Data Points: (2, 3), (3, 3), (3, 4), (5, 3), (5, 5), (6, 4), (6, 6), (7, 6)

- 1) *Step 1: Initialization:* Let's choose 'K' to be 2 and initialize two centroids: Initial Centroid 1: (3, 3) Initial Centroid 2: (6, 6)
- 2) *Step 2: Assignment:* Assign each data point to the nearest centroid based on Euclidean distance: Cluster 1: (2, 3), (3, 3), (3, 4), (5, 3) Cluster 2: (5, 5), (6, 4), (6, 6), (7, 6)
- 3) *Step 3: Update:* Recalculate the centroids for each cluster: New Centroid 1: (3.25, 3.25) New Centroid 2: (6, 5)
- 4) *Step 4: Convergence Check:* Check if the centroids have changed significantly. In this case, they have
- 5) *Step 5: Repeat Assignment and Update Steps:* Repeat steps 2 and 3
- 6) *Step 6: Termination:* After a few iterations, the centroids stabilize: Final Centroid 1: (3.25, 3.25) Final Centroid 2: (6, 5) The algorithm terminates, and the data points are now divided into two clusters. The centroids represent the center of each cluster.

Cluster 1: (2, 3), (3, 3), (3, 4), (5, 3) - Centroid: (3.25, 3.25) Cluster 2: (5, 5), (6, 4), (6, 6), (7, 6) - Centroid: (6, 5)  
K-Means clustering is a mathematical model used for partitioning a dataset into 'K' distinct, non-overlapping clusters. The goal of K-Means is to minimize the sum of squared distances between data points and their assigned cluster centroids. Here's a mathematical representation of the K-Means clustering algorithm:

### Given

- Data: A dataset with 'n' data points, denoted as  $X = \{x_1, x_2, \dots, x_n\}$ , where each data point  $x_i$  is a d-dimensional vector ( $x_i \in \mathbb{R}^d$ ).
- Number of Clusters: 'K' (a positive integer representing the desired number of clusters).

### Variables

- Cluster Assignments: Each data point  $x_i$  is assigned to one of the 'K' clusters, represented by the variable ' $c_i$ ' ( $c_i \in \{1, 2, \dots, K\}$ ). This indicates the cluster membership of each data point.
- Cluster Centroids: For each cluster 'k' ( $k \in \{1, 2, \dots, K\}$ ), there is a centroid ' $\mu^k$ ', which is a d-dimensional vector representing the center of the cluster.

### Objective Function (Cost Function)

The objective of K-Means clustering is to minimize the following cost function:

$$J = \sum_{k=1}^K \sum_{i=1}^n 1(c_i = k) ||x_i - \mu^k||^2$$

Where:

- $J$  is the cost function to be minimized.
- $1(c_i=k)$  is an indicator function that equals 1 if  $c_i$  (the cluster assignment of data point  $x_i$ ) equals  $k$  and 0 otherwise.
- $||x_i - \mu^k||^2$  represents the squared Euclidean distance between data point  $x_i$  and the centroid  $\mu^k$  of cluster  $k$ .

### Algorithm Steps

- a) Initialization: Randomly initialize the 'K' cluster centroids  $\mu^k$  (e.g., by selecting 'K' data points as initial centroids or using other methods).
- b) Assignment Step:
  - o For each data point  $x_i$ , calculate the Euclidean distance to all centroids  $\mu^k$ .
  - o Assign  $x_i$  to the cluster with the nearest centroid by updating  $c_i$ .
- c) Update Step:
  - o For each cluster  $k$ , update the centroid  $\mu^k$  as the mean of all data points assigned to cluster  $k$ :

$$\mu^k = \frac{1}{N^k} \sum_{i=1}^n 1(c_i = k) x_i$$

Where  $N_k$  is the number of data points assigned to cluster  $k$ .

d) Convergence Check:

- o Check if the centroids have changed significantly compared to the previous iteration. If not, terminate the algorithm.

e) Repeat Assignment and Update Steps:

- o Repeat steps 2 and 3 until convergence.

f) Termination:

- o The algorithm terminates when the centroids no longer change significantly, indicating that the clusters have stabilized.

### C. Fuzzy C-Means Clustering algorithm

C-Means clustering, also known as Fuzzy C-Means (FCM) clustering, is a variation of the K-Means algorithm that allows data points to belong to multiple clusters with varying degrees of membership (fuzzy membership). Here's the mathematical model for C-Means clustering: **Given:**

- Data: A dataset with 'n' data points, denoted as  $X = \{x_1, x_2, \dots, x_n\}$ , where each data point  $x_i$  is a d-dimensional vector ( $x_i \in \mathbb{R}^d$ ).
- Number of Clusters: 'K' (a positive integer representing the desired number of clusters)
- Fuzziness Parameter: 'm' (a real number greater than 1, typically between 1 and 2, controlling the degree of fuzziness).

#### Variables

- Cluster Centers: For each cluster 'k' ( $k \in \{1, 2, \dots, K\}$ ), there is a centroid ' $\mu^k$ ', which is a d-dimensional vector representing the center of the cluster.
- Membership Matrix: A matrix 'U', where each element ' $u_{ik}$ ' represents the degree to which data point ' $x_i$ ' belongs to cluster 'k'. 'U' is of size 'n x K'.

#### Objective Function (Cost Function)

The objective of C-Means clustering is to minimize the following cost function:

$$J = \sum_{k=1}^K \sum_{i=1}^n u_{ik}^m \|x_i - \mu^k\|^2$$

Where:

- J is the cost function to be minimized.
- $u_{ik}$  is the degree of membership of data point  $x_i$  in cluster  $k$ .
- m is the fuzziness parameter that controls the degree of fuzziness in cluster assignments.
- $\|x_i - \mu^k\|^2$  represents the squared Euclidean distance between data point  $x_i$  and the centroid  $\mu^k$  of cluster  $k$ .

#### Algorithm Steps

a) Initialization

- o Initialize the membership matrix 'U' with random values between 0 and 1, subject to the constraint that the sum of membership degrees for each data point across all clusters is equal to 1.
- o Initialize the cluster centroids ' $\mu^k$ ', typically by randomly selecting 'K' data points as initial centroids.

b) Update Membership Degrees

- o Update the membership matrix 'U' based on the current cluster centroids and fuzziness parameter 'm':

$$u_{ik} = \frac{1}{\sum_{j=1}^K \left( \frac{\|x_i - \mu^k\|}{\|x_i - \mu_{j-1}^k\|} \right)^{\frac{2}{m-1}}}$$

Where  $\mu_{j-1}^k$  is the centroid of the previous iteration.

c) Update Cluster Centers

- o Update the cluster centroids ' $\mu^k$ ' based on the updated membership matrix 'U':

$$\mu^k = \frac{\sum_{i=1}^n (u_{ik})^m x_i}{\sum_{i=1}^n (u_{ik})^m}$$

d) *Convergence Check*

- Check if the membership matrix 'U' and cluster centroids ' $\mu^k$ ' have converged. This can be based on a threshold or after a predefined number of iterations.

e) *Repeat Update Steps*

- If convergence has not been reached, repeat steps 2 and 3.

f) *Termination*

- The algorithm terminates when the membership matrix 'U' and cluster centroids ' $\mu^k$ ' no longer change significantly, indicating that the clusters have stabilized.
- The C-Means clustering algorithm aims to find the optimal membership matrix 'U' and cluster centroids ' $\mu^k$ ' that minimize the cost function 'J' while allowing for soft (fuzzy) cluster assignments. It is particularly useful when data points may belong to multiple clusters with varying degrees of membership.

## IV. RESULTS

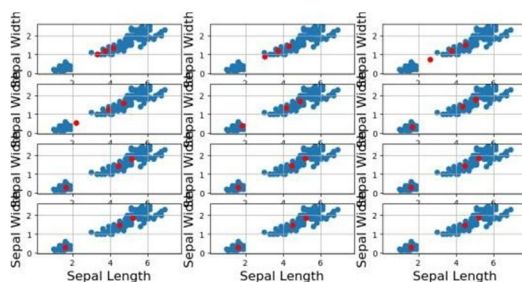
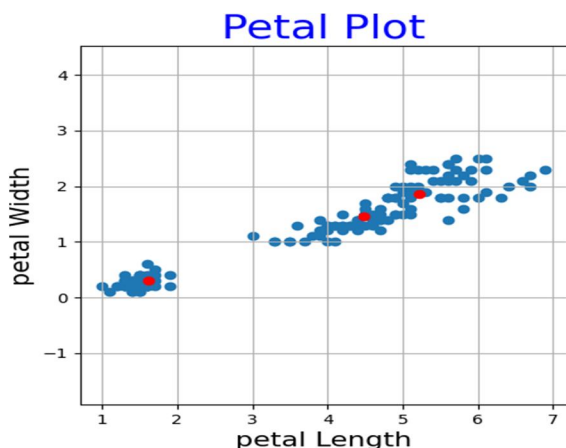
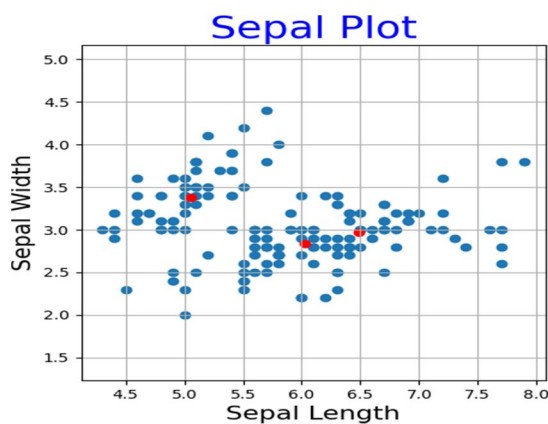
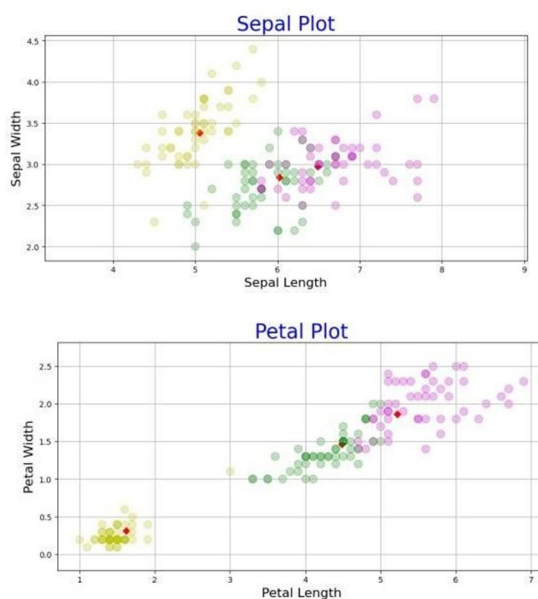


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## V. CONCLUSION

Fuzzy K-Means (FKM) clustering with discriminative embedding is a powerful technique for clustering data points based on their similarity. The approach involves incorporating additional information, in the form of discriminative embedding, into the clustering process, which can improve the accuracy of the clustering results. FKM clustering with discriminative embedding has been applied in various fields, including image segmentation, document clustering, gene expression data analysis, and recommendation systems, among others.

However, the approach also comes with several challenges, such as the selection of appropriate embedding features, the complexity of the embedding process, determining the optimal number of clusters, sensitivity to parameter selection, and overfitting. Addressing these challenges is crucial for improving the clustering performance and ensuring the applicability of the approach to real-world problems.

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