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# **Attack Detection System Using Unsupervised Learning and Multivariate Correlation Analysis**

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**Abstract:** Availability of network services are being vulnerable by the growing range of Denial-of-Service (DoS) attacks. Effective mechanisms for DoS attack detection are demanded. Different systems were projected for detection Dos attacks using machine learning, statistical analysis, data processing or mining, etc. This system is an improvement over earlier system in which k- means clustering is used over a training samples so that it can categorize the samples into different clusters and then it applies statistical methods to find the correlation between features to gain deep information standard deviation, mean and covariance matrix. Applying multivariate correlation analysis on each cluster will help us to get profile parameters according to their cluster and thus allowing us to know sharp boundary of characterizing a sample packet. This will help us to reduce false positive rate and to improve efficiency and detection accuracy.

**Keywords:** Denial of Service Attacks, Attack Detection, K-Means Clustering, Triangle Area Map, Multivariate Correlation Analysis.

## **I. INTRODUCTION**

Today networks have wonderful growth that has speed up a shift in computing environments from computer systems to network information systems. A large volume of valuable information such as personal profiles and credit card information is shared and transferred through networks. Hence, network security [1] has become a lot of vital than ever. However, given open and complex interconnected network systems, it is tough to check a secure networking environment Intruders endanger system security by crashing services, changing critical information, and stealing important data. Intrusion detection systems (IDSs) [2] are designed to discover malicious activities that attempt to compromise the confidentiality, integrity and assurance of computer systems.

Unlike a firewall that filters bad traffic, an IDS [2] analyzes packets to observe malicious attack attempts. Based on the report of the CSI/FBI laptop crimes and security survey in 2006, the IDS has become the fifth most generally used security technology. Certainly, intrusion detection systems have become critical components in network security. Therefore, two factors [2] need to be considered to confirm IDS effectively. First, the IDS should deliver reliable detection results.

Intrusion detection systems are divided in two main categories which are 1. Misused base detection system 2. Anomaly base detection system [2]. Misused base detection systems are used to detect attacks by observing the network activity and looking for matching signatures. Day by day variety of new attacks are used which can't be detected by misused based detection system as it only works for existing system.

To overcome the problem of misused base detection technique, anomaly base detection techniques were developed to achieve novelty-tolerant detection system. These are based on signature modelling of normal traffic. Anomaly based detection system have the advantage of detecting new attacks but their false positive rates are high. [2], [9] Intrusion detection systems have undergone numerous developments in each power and scope. Machine learning [8], [19] is the ability of a machine that mechanically improves its performance through learning from expertise. Machine learning [8], [19] techniques are used to study normal computer activities and determine abnormal behaviors that deviate from commonplace as intrusions.

Although these anomaly-based IDSs are able to detect narrative attacks, most of them suffer from a high error rate due to a deficiency in their discrimination ability. So these detection approaches can only distinguish abnormal attacks, they miss several attacks that are not completely different from normal behaviors. Detecting such abusive not only provides information on damage assessment, but additionally helps to stop new attacks. These all attacks are typically detected by tools said as intrusion detection system. The foremost widespread and well-known information for an intrusion detection system is that the audit information. An audit path refers to the records of all the activities on a system unbroken in written record order. It's attainable to manually analyze the source information and sight any abnormal activity on the system. However, the grandness of the audit information provided by associate audit assortment system typically makes manual analysis impractical.

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## II. RIVIEW OF LITERATURE

It illustrates the survey of methodologies and techniques used [20] for Unsupervised Machine Learning which are used for learn advanced, non-linear models with millions parameters to use large amount of unlabeled data. Primarily structure in information is finding in clustering and it has long history in scientific field. K-means is that the most preferred and simple clustering algorithm. It specifies the role of Intrusion Detection System [2] (IDS) that has been unavoidable within the area of data and Network Security. Anomaly based intrusion detection technique is one among the building blocks of such a foundation. The try has been created to use hybrid learning approach by combining k-Medoids primarily based clustering technique followed by Naïve Bayes classification technique. As a result of the fact that k-Medoids clustering techniques represent the real world situation of knowledge distribution, the proposed increased approach will cluster the whole data into corresponding clusters more accurately than k-Means such that it results in a higher classification. An experiment is administrated in order to evaluate performance, accuracy, detection rate and false positive rate of the classification theme.

### A. Rule Based Intrusion Detection System

In the rules based approach, acceptable behavior of a subject is captured by a set of rules which is used to identify anomalous observed behavior.

This technique search attack by noticing events within the system and applying collection of rules that results in a choice concerning whether a given pattern of activity is suspicious Rule-based anomaly detection. Similar to statistical anomaly detection [9], it does not need knowledge of security vulnerabilities inside the system but instead of that, large database of rules are required. In Rule-based penetration identification, rules are used for distinctive better-known penetrations that will exploit known weaknesses. Rules can also be defined that establish suspicious behaviors.

### B. Signature Based Intrusion Detection System

In signature detection, the intrusion detection decision is made on the basis of knowledge of a model of the intrusive process. We can define in any and all instances which is legal or illegal behavior and compare the observed behavior accordingly. It ought to be noted that these sightors strive to detect proof of intrusive activity regardless of any plan of what the background traffic, i.e. normal behavior [21] of the system appearance like. These detectors have to be compelled to be able to operate no matter which is the normal behavior of the system, checking instead for patterns that are thought by the designers to stand out against the possible background traffic.

This places extremely strict demands on the model of the character of the intrusion. No untidiness is afforded if the ensuing detector is to possess AN allowable detection and false alarm rate.

### C. Intrusion Detection using k-means Clustering

Data Mining is analysis of empiric information set to seek out unknown relationship and to summarize large amounts of knowledge in novel ways in which are each intelligible and helpful to data owner in proactive decision making process. Data mining [2] is currently attainable attributable to advance technologies in engineering science and machine learning.

Using normal reports, Data mining can make decisions and create alerts when action is required. Data Mining is being used in various fields, such as in business for Customer Relationship Management (CRM), Marketing, etc. In medicine for laboratory research, pharmaceutical research, etc., in forecasting of weather and its traffic, etc. In aviation for pilot assistance and in research in the areas of medicine, business, security, etc.

In order to apply the techniques to information security we required datasets. We used unremarkably applied dataset in data security analysis. The network intrusion dataset from the KDD archive brought up because the KDD 99 Cup dataset. The KDD 99 [2] Cup has forty one attributes that's ten percent of original dataset suggests that 500,000 rows.

### D. Existing System

The proposed approach evolved from this system which comprises of three major steps. Sample by sample detection mechanism is involved in every phase.

In step 1, the system generates basic features from ingress traffic to internal network which are used to form traffic records. This enables the systems detector to provide protection which fits perfect in the targeted internal network as the legal traffic profile used by the system are developed for smaller network.

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Step 2, Multivariate Correlation Analysis (MCA) in which the method of Triangle Area Map (TAM) generation is used to find correlations between the features that are coming from step 1. The presence of network intrusions build the correlation distinct from each other which have to be used as indicators to identify any attacks this extracted correlations are stored in Triangle Area Map (TAMs) which are used to replace original base features. This process generates the normal profile which is used as reference to detect any intrusion attacks. [5]

*E. Normal Profile Generation Based on Triangular-Area-Based MCA Algorithm:*

Require: X [normal TAMlower] with g elements

$$\overline{TAM}_{[normal,lower]} \leftarrow \frac{1}{g} \sum_{i=1}^g TAM_{normal,i}$$

Generate covariance matrix Cov for X[normalTAMlower]

for i = 1 to g do

MDnormal, i ← MD (TAM[normal,ilower],  $\overline{TAM}_{normal lower}$ )

{Mahalanobis distance between TAM [normal, ilower] and  $\overline{TAM}_{normal lower}$  computed}

end for

$$\mu \leftarrow \frac{1}{g} \sum_{i=1}^g MD_{normal,i}$$

$$\sigma \leftarrow \sqrt{\frac{1}{g} - 1 \sum_{i=1}^g (MD_{normal,i} - \mu)^2}$$

Pro ← (N (μ, σ<sup>2</sup>), TAMnormallower, Cov) return pro

In step 3, anomaly based detection system is used for decision making. Any intrusion attack is detected without requiring any relevant knowledge. The existing system enhances robustness makes it harder to be evaded as the attacks need to be developed new attacks which cannot be simply detected but have to be very distinct from the existing area.

*F. Attack Detection Algorithm*

Require: Observed traffic record xobserved, normal profile Pro: (N (μ, σ<sup>2</sup>),  $\overline{TAM}_{normal lower}$ , Cov) and parameter α

Generate TAMobservedlower for the observed traffic record xobserved

MDobserved ← MD (TAMobservedlower,  $\overline{TAM}_{normal lower}$ )

if (μ - σ \* α) ≤ MDobserved ≤ (μ + σ \* α) then

return Normal

else

return Attack

end if

### III.SYSTEM ARCHITECTURE / SYSTEM OVERVIEW

To improve the performance of proposed system we have introduced unsupervised learning algorithm [8], [19] in addition to the existing system. The proposed system is divided into four steps as described in the below figure (System Architecture).

- A. Features Generalization
- B. K-Means Clustering
- C. MCA (Multivariate Correlation Analysis)
- D. Decision Making



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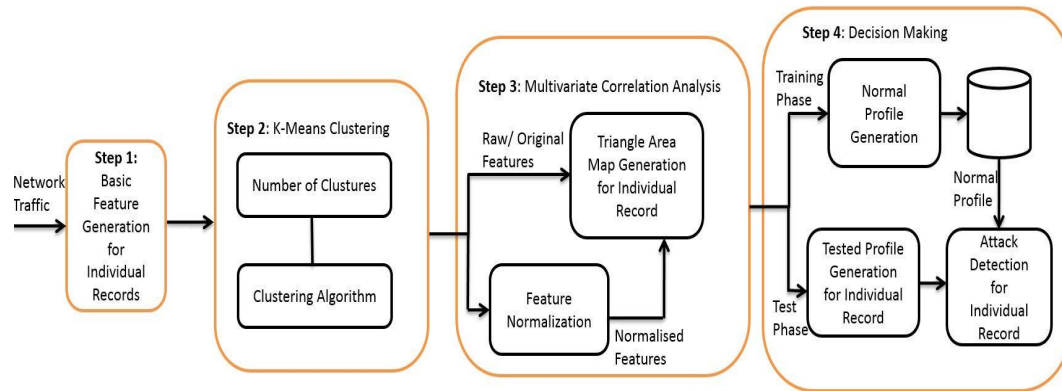


Fig. 1 Block Diagram of Proposed System (System Architecture)

### A. Step 1: Features Generalization

Every individual record is used to generate features and then passed on to the next step is the system. These individual records are stored in internal network helping detector to provide a best solution for targeted network as legitimate profiles used by detectors are developed for smaller networks. [22]

### B. Step 2: K-Means Clustering

It is additional step which is implemented in this system. The unsupervised learning algorithm used which is K-means clustering algorithm used to store the individual traffic records. [2], [11], [17] They are clustered according to the contents in the records, i.e. features and labels used to differentiate the clusters. Clusters are formed on the basis of classifications done by the attack types and features of the same. This has been reduced the calculation time in the detection process and the system has provided an optimal solution to get precise results.

### C. K-means Clustering Algorithm

x is dataset contains (x1, x2, x3, x4 ... Xn)  
 $\mu_i = 4 \quad i=1 \dots k$  //initialize the center of cluster  
 $c_i = \{j: d(x; \mu) \leq d(x; \mu_l), l \neq i, j=1 \dots n\}$   
 // attribute the closest cluster to each data point

$$\mu_{i=|c_i|} = \frac{1}{|c_i|} \sum_{j \in c_i} x_j, \forall i$$

/ set the position of each cluster to mean of all data point belongs to cluster

Repeat 2 & 3 until convergence.

Notation |c|= number of element in cluster

This cluster is then passed as input to normal profile generation.

### D. Step 3: MCA (Multivariate Correlation Analysis)

A normal profile is to be generated which is done by finding correlation of each feature of a record.[6],[15] This is done individually for every cluster formed in step 2. When every cluster is executed individually, we are supposed to get very precise correlations of every feature to the other in that cluster. This process is called as the training phase, where all correlations are calculated and stored. Three types of data is generated in normal profiles which are TAM (bar), Mean ( $\mu$ ) and Standard Deviation ( $\sigma$ ).

### E. Normal Profile Generation Based on Triangular-Area-Based MCA Algorithm using Clustered Dataset:

1) Require: X [normal TAMlower] with g clustered elements

$$\overline{TAM}_{[normal,lower]} \leftarrow \frac{1}{g} \sum_{i=1}^g TAM_{normal,i}$$

Generate covariance matrix Cov for X[normalTAMlower]

$$\text{for } i = 1 \text{ to } g \text{ do } MD_{normal,i} \leftarrow MD(TAM_{[normal,lower]}, \overline{TAM_{normal,lower}})$$

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```

        {Mahalanobis distance between TAM [normal, ilower] and TAMnormal lower computed}
    end for
    
$$\mu \leftarrow \frac{1}{\mathbb{E}} \sum_{i=1}^{\mathbb{E}} \text{MDnormal}, i$$

    
$$\sigma \leftarrow \sqrt{\frac{1}{\mathbb{E}} - 1 \sum_{i=1}^{\mathbb{E}} (\text{MDnormal}, i - \mu)}$$

    Pro  $\leftarrow$  (N ( $\mu$ ,  $\sigma^2$ ), TAMnormallower, Cov)
    return pro
    
```

All the extracted correlations, namely triangle areas stored in Triangle Area Maps (TAMs) then which are used to replace the original basic features or the normalized features to represent the traffic records. This provides higher capable information to differentiate between legitimate and illegitimate traffic records.

Threshold formula  $\text{hreshold} = \mu + \sigma * \alpha$

All generated values are stored in a database which are used as reference in the detection process.

### F. Step 4: Decision Making or Detection Mechanism

The anomaly-based detection mechanism [5] is used in Decision Making. In this mechanism, every incoming packet to the system is analyzed through the proposed system to catch any possible anomaly or malicious content in that packet. Every incoming packet goes through the clustering process first. Further process is done after the packet is classified into any of the preformed clusters in the training process. Only the matrix generated for that particular cluster is used to find correlation between each feature of the packet and the trained data. If the contents in the new packet relate to any of the malicious content correlation in the trained data, then that packet is classified as abnormal and is side-lined and also the administrator is informed. If no malicious content is found then the packet is passed without any actions.

### G. Attack Detection Algorithm for Normalized and Cluster Dataset:

```

1) Require: Observed traffic record xobserved, normal profile Pro: (N ( $\mu$ ,  $\sigma^2$ ), TAMnormal lower, Cov) and parameter  $\alpha$ 
Generate TAMobservedlower for the observed traffic record xobserved
MDobserved  $\leftarrow$  MD (TAMobservedlower, TAMnormal lower)
if  $(\mu - \sigma * \alpha) \leq \text{MDobserved} \leq (\mu + \sigma * \alpha)$  then
    return Normal
else
    return Attack
end if
    
```

## IV. SYSTEM ANALYSIS

For evaluation of attack detection system, we have used KDD 99 dataset. KDD 99 is only publically available which is labelled based dataset. We have used only 10 percent labelled dataset from KDD 99 dataset. It consists of 3 different types of legal traffic i.e. TCP, UDP and ICMP traffic. [4], [16]

The performance analysis of this proposed system is done by finding False Positive Rate (FPR), Accuracy, Detection Rate (DR) of this system, as this is done in two phases for comparisons basis.

### A. Performance Analysis Against Original Dataset

The performance of this system with original dataset along with different thresholds. The average detection rate for each attack is shown in Table I. This proposed system achieves better performance against attack. The detection Rate of correct classification of normal increases from 98.74% to 99.47% with increase in threshold and attacks are detected without being affected by changed in threshold i.e. this system shows 100 percent detection rate of attack where the threshold is not changed.

To get better understanding of the performance of this proposed k-means and MCA base detection system, False Positive Rate, Detection Rate and Accuracy is shown in Table II. These all performance measures are computed over all dataset traffic records,

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which contains all attacks like Teardrop, Smurf, Pod, Neptune, Land, etc. as well as normal packets taken from KDD 99 dataset.

TABLE I

TABLE NAME (AVERAGE DETECTION PERFORMANCE OF THE PROPOSED SYSTEM ON ORIGINAL DATA AGAINST DIFFERENT THRESHOLDS)

Type of Records	Threshold				
	1 $\sigma$	1.5 $\sigma$	2 $\sigma$	2.5 $\sigma$	3 $\sigma$
Normal	98.74%	99.03%	99.23%	99.35%	99.47%
Teardrop	71.50%	63.92%	57.93%	52.81%	48.45%
Smurf	100.00%	100.00%	100.00%	100.00%	100.00%
Pod	100.00%	100.00%	100.00%	100.00%	100.00%
Neptune	82.44%	61.79%	57.00%	54.84%	52.96%
Land	0.00%	0.00%	0.00%	0.00%	0.00%
Back	99.96%	98.82%	99.58%	99.44%	99.31%

TABLE II

TABLE NAME (DETECTION RATE AND FALSE POSITIVE RATES ACHIEVING BY THE PROPOSED SYSTEM ON ORIGINAL DATA)

Metrics	Threshold				
	1 $\sigma$	1.5 $\sigma$	2 $\sigma$	2.5 $\sigma$	3 $\sigma$
FPR	1.26%	0.97%	0.77%	0.65%	0.53%
DR	95.11%	89.44%	88.11%	87.51%	86.98%
Accuracy	95.20%	89.67%	88.38%	87.79%	87.28%

### B. Performance Analysis Against Normalized Dataset and Clustering

To verify the performance of this system against clustered and normalized data, 10 fold cross validation is done. Dataset is clustered and normalized using k-means clustering and the statistical normalization techniques. The results are shown in Table III and IV.

The Detection rate of normalized data is shown in Table III. The overall performance of using normalized dataset is increased in proposed system. The attacks which are misclassified like Teardrop, Land, and Neptune are correctly classified in MCA and k-means clustering based proposed system. [5][3] Proposed systems Detection Rate (DR) of Normal record increases (97.36% to 98.75%) along with increase in Threshold from 1 $\sigma$  to 3 $\sigma$ . Also DR of all attacks increases like Neptune, Teardrop, Land is showing 100% DR along with increase in Threshold.

TABLE III

TABLE NAME (AVERAGE DETECTION PERFORMANCE OF THE PROPOSED SYSTEM ON NORMALIZED DATA AGAINST DIFFERENT THRESHOLDS)

Type of Records	Threshold				
	1 $\sigma$	1.5 $\sigma$	2 $\sigma$	2.5 $\sigma$	3 $\sigma$
Normal	97.36%	97.97%	98.56%	98.56%	98.75%
Teardrop	100.00%	100.00%	100.00%	100.00%	100.00%
Smurf	100.00%	100.00%	100.00%	100.00%	100.00%
Pod	100.00%	100.00%	100.00%	100.00%	100.00%
Neptune	100.00%	100.00%	100.00%	100.00%	100.00%
Land	100.00%	100.00%	100.00%	100.00%	100.00%
Back	99.32%	98.96%	94.09%	93.79%	93.56%

However, similar to previous computation proposed system shows the overall performance of system in the sense of FPR, Accuracy, and DR. The FPR show in Table IV decreases nearly to 1% when threshold is increased from 1 to 3 and finally it

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reaches to 1.25%.

TABLE IV

TABLE NAME (DETECTION RATE AND FALSE POSITIVE RATE ACHIEVING BY THE PROPOSED SYSTEM ON NORMALIZED DATA)

Metrics	Threshold				
	1σ	1.5σ	2σ	2.5σ	3σ
FPR	2.64%	2.03%	1.68%	1.44%	1.25%
DR	100.00%	99.99%	99.97%	99.97%	99.96%
Accuracy	99.93%	99.95%	99.93%	99.93%	99.93%

The detection rate varies from 100% to 99.96%. Hence it is cleared that k-means algorithm and MCA based system achieves the better performance than original dataset.

### V. PERFORMANCE RESULT

To compare two evaluations i.e. analysis on the basis of original dataset and analysis on the basis of normalized dataset, ROC curve is used.

The ROC curve shows the relationship between detection rate and false positive rate. When the large number of false positive rate is allowed then the detection rate of attack detection is increased. In Fig. 2(a), the ROC curve that analyzing the original data using MCA based proposed detection system shows rising trend.

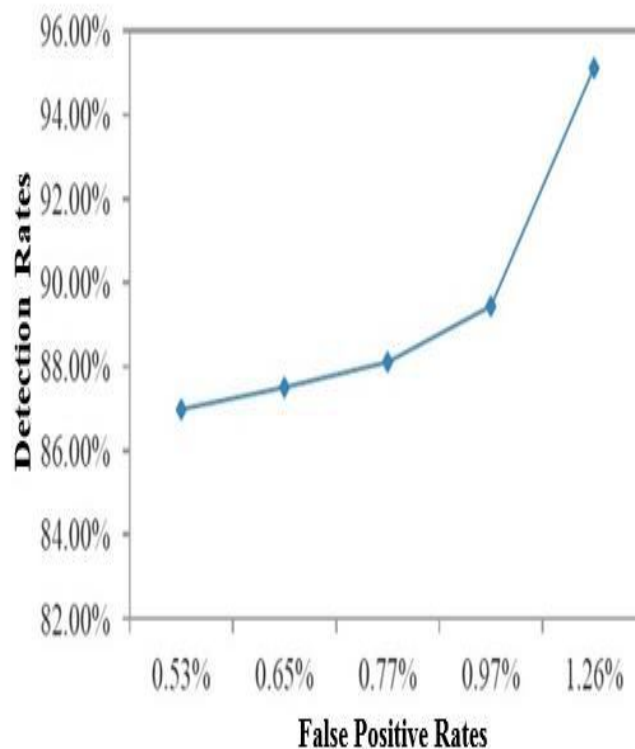


Fig. 2(a) ROC Curve for Analyzing Original Data

The curve grows from 86.96% detection rate to 89.445 and at last it reaches to 95.11% detection rate. ROC curve for analyzing normalized and clustered data shows jumps from 99.97% DR to 99.99% DR and then slow progress as shown in Fig. 2(b). Then the ROC curve remains in consistently high level of DR around 100%.



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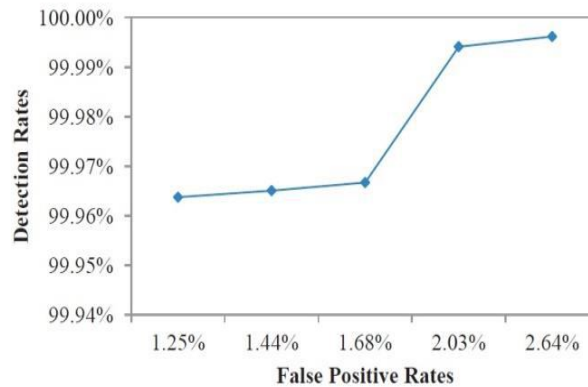


Fig. 2(b) ROC Curve for Analyzing Normalized Data

Fig. 2(b) shows that our proposed k-means and MCA based detection system always provides better and high detection rate while working with normalized data than with original data.

### VI. CONCLUSION

In this paper, we have proposed attack detection system, that detects attack using Anomaly and MCA based detection techniques, additionally we have used unsupervised learning algorithm i.e. k-means clustering to cluster the packets according to their protocols. We have used KDD 99 Dataset that is used as input to our system. It is Standard Dataset which provides effectiveness and performance of proposed attack detection system. KDD 99 Dataset consists of large number of datasets that are clustered into different clusters using k-means clustering technique according to their protocols. Then they are normalized to increase the detection rate by using MCA technique. In MCA, we generate Normal Profile for every packet of clusters. TAM (Triangular Area Map) is used to generate Normal Profile that reduces the time complexity of our system. By Normalizing data we have achieved maximum detection rate, correct classification of attacks and better detection accuracy.

For future work, we are going to test our attack detection system using real world data and we are going to use more refined classification techniques to further lighten the false positive rate.

### VII. ACKNOWLEDGMENT

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